In addition to departmental requirements, candidates for graduate degrees must fulfill University requirements as described in the “Graduate Education” section of the UCSB General Catalog.

A student who has completed the M.A. degree with the intent to continue on to the Ph.D. program will form his/her Ph.D. Committee and work toward advancement to Ph.D. candidacy. Students are expected to take a full course load their third year in order to fulfill contract requirements. The Department of Anthropology requires students to advance to candidacy by the end of their fifth year in the graduate program. Students formally advance to doctoral candidacy upon the committee’s approval of their research papers and dissertation proposal and successful completion of the oral defense. Students not advanced to candidacy by the end of the fifth year may be subject to academic probation or department monitoring.

Time-to-degree: 5 years to advance; 8 years to complete the Ph.D.

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**ADVANCEMENT TO CANDIDACY: CORE COURSES (4.0 units total)**

<table>
<thead>
<tr>
<th>COURSE #</th>
<th>COURSE NAME</th>
<th>UNITS</th>
<th>GRADE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ANTH 239A</td>
<td>Research Design and Writing in Archaeology</td>
<td>4.0</td>
<td></td>
</tr>
</tbody>
</table>

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**ADVANCEMENT TO CANDIDACY: RESEARCH PAPER, DISSERTATION PROPOSAL, PROPOSAL DEFENSE**

**Doctoral Committee:**  
Chair: _____________________________
Member: ___________________________  Member: ___________________________
Member: ___________________________  Member: ___________________________

**Research Paper:** In addition to the core coursework listed above and completion of elective courses per the student’s Competency Contract, students must demonstrate current knowledge of the dissertation research field by completing a research/data paper. This is an article-length paper that demonstrates skills in problem formulation, data analysis, and scientific argument, as well as literary competence. All members of the Ph.D. Committee must approve and sign off on the paper.

Research Paper approved on (date): _____________________________

**Dissertation Proposal:** Students must write a dissertation proposal, specifying the intended topic, theoretical framework, geographic area of fieldwork, and methods of research leading to the Ph.D. dissertation. The proposal should take the form of an NSF Dissertation Improvement Grant to fund the student’s dissertation research. All members of the Ph.D. Committee must approve and sign off on the proposal.

Dissertation Proposal approved on (date): _____________________________
Oral Qualifying Exam: Once the Ph.D. committee has been formed, the student must schedule an Oral Qualifying Exam. In this meeting with the Ph.D. committee, the student presents an overview of his/her proposed dissertation research and responds to questions from committee members regarding the proposed research and background information related to the dissertation topic.

Oral Dissertation Proposal Defense date: ___________________

FIELDWORK

Fieldwork of at least three academic quarters' duration. Ideally students receive funding for their dissertation research in time to begin fieldwork during their fourth year, but it often takes longer than that, and if they are unable to begin field research until their fifth year they are still on a reasonable schedule. A student can expect to spend a year or a year and a half in the field, and it will take at least a year to write the dissertation after completing the field research. The Department of Anthropology sets a deadline of eight years to complete the doctorate.

DISSERTATION

When the dissertation has been completed and submitted, the student's Committee may either approve it or suggest further revisions. The Department does not require that students take an oral exam on the dissertation or that they engage in an oral defense for the Archaeology and Sociocultural specializations, but the University requires that a form be filed that waives the orals. The student needs to file the Graduate Division's Ph.D. Form III, Report on Doctoral Degree Final Defense (waived). Students are advised to obtain committee signatures on this form at the same time committee members are signing the dissertation signature page(s). When all the members of the Committee have approved and signed the dissertation, the student becomes a Ph.D. degree candidate.

Doctoral Form III (waived) approved date:

_________________________